

A note on read-once branching programs for blocking sets in projective planes

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Abstract

We exhibit a simple Boolean function f in n variables such that *both* the function f and its negation $\neg f$ have *nondeterministic* read-once branching programs of size $n^{5/2}$ but f requires *deterministic* read-once branching programs of size $2^{\sqrt{n}}$. This means that $P \neq NP \cap co - NP$ in the context of read-once branching programs.

Let $P = \{1, \dots, n\}$ be the set of points of a projective plane $PG(2, q)$ of order q , and let L_1, \dots, L_n be the lines, viewed as subsets of P ; hence $n = q^2 + q + 1$. Recall that each line has exactly $q + 1$ points; every two lines intersect in exactly one point and exactly $q + 1$ lines meet in one point. A *blocking set* is a set of points which intersects every line. The smallest blocking sets are just the lines. Blocking sets containing a line are called *trivial*.

The first function in AC^0 (*Exact-Perfect-Matching*) that requires exponential size read-once branching programs was exhibited in [4]. Gál in [3] showed that one can use for this purpose the characteristic function

$$g(x_1, \dots, x_n) \Leftrightarrow \bigwedge_{i=1}^n \bigvee_{j \in L_i} x_j$$

of all blocking sets in $PG(2, q)$ by proving that it has no deterministic read-once branching program of size smaller than $2^{\sqrt{n}}$. In this note we observe that, using known lower bounds on the size of blocking sets due to Bruen [2] and Blokhuis [1], the argument of [3] can be easily modified to get another result, namely that $P \neq NP \cap co - NP$ in the context of read-once branching programs.

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We consider the usual model of *branching programs* (b.p.) (see e.g. [7, 5]). The program is *read-once* if on each path every variable is tested at most once. We use abbreviations 1-b.p. and 1-n.b.p. for deterministic and non-deterministic read-once branching programs.

Consider the function

$$f(x_1, \dots, x_n) \Leftrightarrow g(x_1, \dots, x_n) \wedge \neg T_{q+k+1}^n(x_1, \dots, x_n),$$

where $k = (q + 1)/2$ if q is a prime, and $k = \sqrt{q}$ otherwise; $T_s^n(x_1, \dots, x_n)$ is the usual threshold function which outputs 1 iff $x_1 + \dots + x_n \geq s$. Thus, for any input $a : P \rightarrow \{0, 1\}$, $f(a) = 1$ iff the set $a^{-1}(1)$ is blocking and has at most $q + k$ points. This modified function has the required property:

Both f and $\neg f$ have 1-n.b.p. of size $n^{5/2}$ whereas any 1-b.p. computing f must have size exponential in k .

Upper bound. Associate with each of n lines L_i ($i = 1, \dots, n$) the following two Boolean functions. Assume for simplicity that $L_i = \{1, \dots, q + 1\}$. Then define $\varphi_i \Leftrightarrow x_1 \wedge \dots \wedge x_{q+1} \wedge \neg T_k^n(x_{q+2}, \dots, x_n)$ and $\psi_i \Leftrightarrow \neg x_1 \wedge \dots \wedge \neg x_{q+1}$.

Bruen in [2] proved that any non-trivial blocking set in a projective plane of order q must have at least $q + \sqrt{q} + 1$ points, and this lower bound is known to be tight when q is a square. For the prime order q , Blokhuis [1] improved Bruen's bound to $3(q + 1)/2$ (which is also optimal). By these bounds we have that $f(a) = 1$ iff a has at most $q + k$ ones and contains some line L , i.e., $a(i) = 1$ for all points $i \in L$. Thus, f is an OR of n functions $\varphi_1, \dots, \varphi_n$, each of which has a 1-b.p. of size $n^{3/2}$. Hence, f has a 1-n.b.p. of size $n^{5/2}$. On the other hand, $\neg f(a) = 1$ iff either a has more than $q + k$ ones or a avoids some line (or both). Thus, $\neg f$ is also an OR of the threshold function T_{q+k+1}^n and n functions ψ_1, \dots, ψ_n , each of which has a 1-b.p. of size $q + 1$. Hence, f has a 1-n.b.p. of size $O(n^{3/2})$.

Lower bound. It is a 'folklore observation' (see [4], Lemma 4.1; or [3] for a simple proof) that any 1-b.p. computing a Boolean function f must have size at least $2^k - 1$ if f is *k-mixed* in the following sense: for any subset of points $I \subseteq P$ with $|I| = k$, and any two different assignments $a, b : I \rightarrow \{0, 1\}$, there is an assignment $c : P \setminus I \rightarrow \{0, 1\}$ for which $f(a, c) \neq f(b, c)$.

It is therefore enough to verify that our concrete function f is *k-mixed*. To show this, let I, a, b be as above and take an $i \in I$ for which $a(i) = 1$ and $b(i) = 0$. There are $q + 1$ lines containing the point i . Since $|I - \{i\}| = k - 1 \leq q - 1$ (the number of lines containing i , minus two) we can find among them two lines L_1 and L_2 such that $L_1 \cap I = L_2 \cap I = \{i\}$. Define the assignment $c : P \setminus I \rightarrow \{0, 1\}$ by letting $c(j) = 1$ iff $j \in L_1$. Then inputs (a, c) and (b, c) both have at most $|I \cup L_1| \leq q + k$ ones. Thus, $f(a, c) = 1$ since (a, c) contains the line L_1 , which in turn, intersects all other lines. On the other hand, $f(b, c) = 0$ since (b, c) does not intersect the line L_2 , because $L_2 \cap (I \cup L_1) = \{i\}$ and $b(i) = 0$. ■

There is another simple way to generate examples separating $\text{NP} \cap \text{co-NP}$ from P in the context of read-once b.p. Let $n = 2^l$ and $m = n/l$ be integers. Every Boolean function τ on m variables induces the following *pointer* function $f_\tau(X)$ in n variables $X = \{x_1, \dots, x_n\}$. Divide X into l subsequent blocks X_1, \dots, X_l with m variables in

each, and define $f_\tau(X)$ to be the value of the j -th variable in the i -th block, where i and j are defined by the equality: $(i - 1)m + j = \tau(X_1) + 2\tau(X_2) + \dots + 2^{l-1}\tau(X_l)$.

Observe that if τ has a 1-b.p. of size ℓ then *both* f_τ and $\neg f_\tau$ have 1-n.b.p. of size $O(n\ell)$. The desired 1-n.b.p. first guesses a pair (i, j) , after which it remains to test if the j -th variable in the i -th block has the value 1 (or 0 in the case of $\neg f_\tau$), and if the values $\tau(X_1), \dots, \tau(X_l)$ coincide with the corresponding bits in the binary representation of (fixed at this point) integer $(i - 1)m + j$.

For the function f_τ to be k -mixed it is enough that τ has the following property: (*) no assignment of constants to k variables makes τ a constant function. This property ensures that, for any two different assignments a and b of constants to the same set of k variables in X , the rest can be arranged so that the string $\tau(X_1), \dots, \tau(X_l)$ points to a bit where a and b differ. Thus, f_τ is k -mixed.

For example, if $s < m/2$ then the threshold function $\tau = T_s^m$ has the property (*) with $k = s - 2$, and hence, the resulting function f_τ requires 1-b.p. of size exponential in $\Omega(m) = \Omega(n/\log n)$. This example appeared in ([4], Example 6.14). Since threshold function is not in AC^0 , the resulting function f_τ is also outside this class. Savický [6] observed that, in fact, one can take simpler function τ : split the variables into $s = \sqrt{m}$ blocks of equal size, and let τ be the OR of ANDs of variables in these blocks. This function still has the property (*) with $k = s - 1$, and the resulting function f_τ belongs to AC^0 .

We conclude with one open problem. Interesting aspect of Bruen-Blokhuis function is that – unlike for pointer-like functions f_τ – the 1-n.b.p. for both f and $\neg f$ have extremely transparent structure, similar to that of the *disjunctive normal form*. Does there exist a function such that both it and its negation have disjunctive normal forms of polynomial size, but whose 1-b.p. (or at least the *decision tree*) size is exponential?

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