

*Proof.* Take any  $x, y \in C_n$ ,  $x \neq y$ . If these two vectors have been obtained from the  $i$ -th rows of  $H$  and  $-H$  respectively, then they disagree in all  $n$  coordinates. Otherwise, there are two different rows  $u$  and  $v$  in  $H$  such that  $x$  is obtained (by changing  $-1$ s to  $0$ s) from  $u$  or  $-u$ , and  $y$  from  $v$  or  $-v$ . In all cases,  $x$  and  $y$  differ in  $n/2$  coordinates, because  $\pm u$  and  $\pm v$  are orthogonal.  $\square$

Hadamard matrices can also be used to construct combinatorial designs with good parameters. Recall that a  $(v, k, \lambda)$  *design* is a  $k$ -uniform family of subsets (also called *blocks*) of a  $v$ -element set such that every pair of distinct points is contained in exactly  $\lambda$  of these subsets; if the number of blocks is the same as the number  $v$  of points, then the design is *symmetric* (see Chap. 12).

By Theorem 14.9, we have that, if there is a Hadamard matrix of order  $n$ , then  $n = 2$  or  $n$  is divisible by 4. It is conjectured that Hadamard matrices exist for *all* orders that are divisible by 4.

**Theorem 14.11.** *Every Hadamard matrix of order  $4n$  gives a symmetric  $(4n - 1, 2n - 1, n - 1)$  design.*

*Proof.* Let  $H$  be a Hadamard matrix of order  $4n$ , and assume that it is normalized, i.e., the first row and the first column consist entirely of 1s. Form a  $(4n - 1) \times (4n - 1)$  0-1 matrix  $M$  by deleting the first column and the first row in  $H$ , and changing  $-1$ s to 0s. This is the incidence matrix of a symmetric  $(4n - 1, 2n - 1, n - 1)$  design, because by Theorem 14.9, each row of  $M$  has  $2n - 1$  ones and any two columns of  $M$  have exactly  $n - 1$  ones in common.  $\square$

## 14.4 Matrix rank and Ramsey graphs

A matrix  $A = (a_{ij})$  is *lower co-triangular* if  $a_{ii} = 0$  and  $a_{ij} \neq 0$  for all  $1 \leq j < i \leq n$ . That is, such a matrix has zeroes on the diagonal and nonzero entries below the diagonal; the entries above the diagonal may be arbitrary.

**Lemma 14.12.** *Let  $p$  be a prime number, and  $A$  an  $n \times n$  lower co-triangular matrix over  $\mathbb{F}_p$  of rank  $r$ . Then*

$$n \leq \binom{r + p - 2}{p - 1} + 1 \leq (r + p)^{p-1}.$$

*Proof.* Let  $r = \text{rk}_{\mathbb{F}_p}(A)$  and  $A = B \cdot C$  be the corresponding decomposition of  $A$ . For  $i = 1, \dots, n$  consider the polynomials  $f_i(x) = 1 - g_i(x)^{p-1}$  in  $r$  variables  $x = (x_1, \dots, x_r)$  over  $\mathbb{F}_p$ , where  $g_i(x)$  is the scalar product of  $x$  with the  $i$ -th row of  $B$ . Let  $c_1, \dots, c_n$  be the columns of  $C$ . Then  $g_i(c_i) = 0$  and  $g_i(c_j) \neq 0$  for every  $i > j$ . Since  $p$  is a prime, Fermat's Little Theorem (see Exercise 1.15) implies that  $a^{p-1} = 1$  for every  $a \neq 0$  in  $\mathbb{F}_p$ . Hence,  $f_i(c_i) \neq 0$

and  $g_i(c_j) = 0$  for every  $i > j$ . By Lemma 13.11, the polynomials  $f_1, \dots, f_n$  are linear independent elements of a vector space  $V$  of all polynomials over  $\mathbb{F}_p$  of degree  $p - 1$ , all of whose monomials  $\prod_{i=1}^r x_i^{t_i}$  satisfy  $\sum_{i=1}^r t_i = p - 1$  and  $t_i \geq 0$ . By Proposition 1.5, the number of such monomials is  $\binom{r+(p-1)-1}{p-1}$ . Since the polynomials can also have a constant term (which accounts for the “+1” in the final equation), we have that

$$n \leq \dim V \leq \binom{r+p-2}{p-1} + 1 \leq (r+p)^{p-1}. \quad \square$$

Let  $R$  be a ring and  $A = (a_{ij})$  an  $n \times n$  matrix with entries from  $R$ . The rank  $\text{rk}_R(A)$  of  $A$  over  $R$  is defined as the minimum number  $r$  for which there exists an  $n \times r$  matrix  $B$  and an  $r \times n$  matrix  $C$  over  $R$  such that  $A = B \cdot C$ ; if all entries of  $A$  are zeroes then  $\text{rk}_R(A) = 0$ . If  $R = \mathbb{F}$  is a field, then  $\text{rk}_R(A)$  is the usual rank over  $\mathbb{F}$ , that is, the largest number of linear independent rows.

By Lemma 14.12, lower co-triangular matrices over  $R = \mathbb{Z}_m$  have large rank, if  $m$  is a prime number. But what about  $R = \mathbb{Z}_m$  for non-prime  $m$ , say, for  $m = 6$ ? In this case  $R$  is no longer a field—it is just a ring (division is not defined). Still one can extend the notion of rank also to rings.

Let  $R$  be a ring and  $A = (a_{ij})$  an  $n \times n$  matrix with entries from  $R$ . The rank  $\text{rk}_R(A)$  of  $A$  over  $R$  is defined as the minimum number  $r$  for which there exists an  $n \times r$  matrix  $B$  and an  $r \times n$  matrix  $C$  over  $R$  such that  $A = B \cdot C$ ; if all entries of  $A$  are zeroes then  $\text{rk}_R(A) = 0$ . If  $R = \mathbb{F}$  is a field, then  $\text{rk}_R(A)$  is the usual rank over  $\mathbb{F}$ , that is, the largest number of linear independent rows.

It turns out that explicit low rank matrices over the ring  $R = \mathbb{Z}_6$  of integers modulo 6 would give us explicit graphs with good Ramsey properties, that is, graphs without any large clique or large independent set.

Let  $A = (a_{ij})$  be an  $n \times n$  lower co-triangular matrix over  $\mathbb{Z}_6$ . Associate with  $A$  the graph  $G_A = (V, E)$  with  $V = \{1, \dots, n\}$ , where two vertices  $i > j$  are adjacent iff  $a_{ij}$  is odd.

**Lemma 14.13** (Grolmusz 2000). *If  $r = \text{rk}_{\mathbb{Z}_6}(A)$  then the graph  $G_A$  contains neither a clique on  $r + 2$  vertices nor an independent set of size  $\binom{r+1}{2} + 2$ .*

*Proof.* It is clear that  $\text{rk}_{\mathbb{F}_p}(A) \leq r$  for  $p \in \{2, 3\}$ . Let  $S \subseteq V$  be a clique in  $G_A$  of size  $|S| = s$ , and  $B = (b_{ij})$  be the corresponding  $s \times s$  submatrix of  $A$ ; hence,  $b_{ii} = 0$  and  $b_{ij} \in \{1, 3, 5\}$  for all  $i > j$ . Then  $B \bmod 2$  is a lower co-triangular matrix over  $\mathbb{F}_2$ , and Lemma 14.12 (with  $p = 2$ ) implies that  $|S| \leq r + 1$ .

Now let  $T \subseteq V$  be an independent set in  $G_A$  of size  $|T| = t$ , and  $C = (c_{ij})$  be the corresponding  $t \times t$  submatrix of  $A$ ; hence,  $c_{ii} = 0$  and  $c_{ij} \in \{2, 4\}$  for all  $i > j$ . Then  $C \bmod 3$  is a lower co-triangular matrix over  $\mathbb{F}_3$ , and Lemma 14.12 (with  $p = 3$ ) implies that  $|T| \leq \binom{r+1}{2} + 1$ .  $\square$

In Sect. 13.7 (Theorem 13.15) we have shown how to construct explicit  $n$ -vertex graphs with no clique or independent set larger than

$$t := 2^{c\sqrt{\ln n \ln \ln n}}$$

for an absolute constant  $c$ . Grolmusz (2000) constructed a co-triangular  $n \times n$  matrix  $A$  over  $R = \mathbb{Z}_6$  with  $\text{rk}_{\mathbb{Z}_6}(A) \leq t$ . Together with Lemma 14.13, this gives an alternative construction of a graph  $G_A$  with no clique or independent set larger than  $t$ .

## 14.5 Lower bounds for boolean formulas

Boolean *formulas* (or De Morgan formulas) are defined inductively as follows:

- Every boolean variable  $x_i$  and its negation  $\bar{x}_i$  is a formula of size 1 (these formulas are called *leaves*).
- If  $F_1$  and  $F_2$  are formulas of size  $l_1$  and  $l_2$ , then both  $F_1 \wedge F_2$  and  $F_1 \vee F_2$  are formulas of size  $l_1 + l_2$ .

Note that the size of  $F$  is exactly the number of leaves in  $F$ .

Often one uses an equivalent definition of a formula as a circuit with And, Or, and Not gates, whose underlying graph is a tree. That is, now negation is allowed not only at the leaves. But using De Morgan rules  $\neg(x \vee y) = \neg x \wedge \neg y$  and  $\neg(x \wedge y) = \neg x \vee \neg y$  one can move all negations to leaves without increasing the formula size.

Given a boolean function  $f$ , how it can be shown that it is hard, i.e., that it cannot be computed by a formula of small size? Easy counting shows that almost all boolean functions in  $n$  variables require formulas of size exponential in  $n$ . Still, for a *concrete* boolean function  $f$ , the largest remains the lower bound  $n^{3-o(1)}$  proved by Håstad (1993).

The main difficulty here is that we allow negated variables  $\bar{x}_i$  as leaves. It is therefore natural to look at what happens if we forbid this and require that our formulas are *monotone* in that they do not have negated leaves. Of course, not every boolean function  $f(x_1, \dots, x_n)$  can be computed by such a formula – the function itself must be also *monotone*: if  $f(x_1, \dots, x_n) = 1$  and  $x_i \leq y_i$  for all  $i$ , then  $f(y_1, \dots, y_n) = 1$ . Under this restriction progress is substantial: we are able to prove that some explicit monotone functions require monotone formulas of super-polynomial size.

### 14.5.1 Reduction to set-covering

Let  $A$  and  $B$  be two disjoint subsets of  $\{0, 1\}^n$ . A boolean formula  $F$  *separates*  $A$  and  $B$  if  $F(a) = 1$  for all  $a \in A$  and  $F(b) = 0$  for all  $b \in B$ . A *rectangle* is a subset  $R \subseteq A \times B$  of the form  $R = S \times T$  for some  $S \subseteq A$  and  $T \subseteq B$ . A