

2. The Standard Model (SM) — Higgs Mechanism

Spontaneous broken gauge symmetry

- consider a complex scalar doublet $\phi = (\phi_1, \phi_2)^\top$, so $\phi^\dagger = (\phi_1^*, \phi_2^*)$
- the corresponding $SU(2)$ gauge field $\hat{W}_\mu = \sum_{i=1}^3 W_\mu^i \frac{1}{2} \sigma^i$
 - with the covariant derivative $D_\mu = \partial_\mu - ig\hat{W}_\mu$
 - the field strength $\hat{W}_{\mu\nu} = \frac{i}{g}[D_\mu, D_\nu] = \partial_\mu \hat{W}_\nu - \partial_\nu \hat{W}_\mu - ig[\hat{W}_\mu, \hat{W}_\nu]$
 - or with $[\sigma^a, \sigma^b] = 2i\epsilon^{abc}\sigma^c$: $W_{\mu\nu}^a = \partial_\mu W_\nu^a - \partial_\nu W_\mu^a + g\epsilon^{abc}W_\mu^b W_\nu^c$
- the gauge transformation with $\hat{\alpha} = \frac{1}{2}\sigma^j \alpha^j$

$$\phi \xrightarrow{\alpha} \phi' = e^{i\hat{\alpha}} \phi \quad \hat{W}_\mu \xrightarrow{\alpha} \hat{W}'_\mu = \hat{W}_\mu + \frac{1}{g}[D_\mu, \hat{\alpha}]$$

or infinitesimal

$$\delta_\alpha \phi = \phi' - \phi = i\left(\frac{1}{2}\sigma^a \phi\right)\alpha^a \quad \delta_\alpha W_\mu^a = W_\mu'^a - W_\mu^a = \frac{1}{g}\partial_\mu \alpha^a + \epsilon^{abc}W_\mu^b \alpha^c$$

- the $SU(2)$ invariant Lagrangian is like scalar QED:

$$\mathcal{L} = (D^\mu \phi)^\dagger (D_\mu \phi) - \frac{1}{4}W_{\mu\nu}^a W^{a\mu\nu} - \frac{1}{2}\mu^2 \phi^\dagger \phi - \frac{1}{4}\lambda(\phi^\dagger \phi)^2$$

2. The Standard Model (SM) — Higgs Mechanism

Spontaneous broken gauge symmetry

- The vacuum is the state of minimal energy
- for $\mu^2 < 0$, this minimum is at $|\phi| := \sqrt{|\phi_1|^2 + |\phi_2|^2} = \sqrt{\frac{-\mu^2}{\lambda}} =: v$
- So one of the 4 parameters of the scalar field is fixed at the vacuum:
 - ϕ acquires a vacuum expectation value
 - how the 4 degrees of freedom of ϕ are fixed is a gauge choice!

* we choose
$$\phi = \begin{pmatrix} \chi^+ \\ v + (h + i\chi_3)/\sqrt{2} \end{pmatrix} = v \begin{pmatrix} 0 \\ 1 \end{pmatrix} + \chi$$

- so we have $\phi^\dagger\phi = v^2 + \sqrt{2}vh + |\chi|^2$ with $|\chi|^2 = \frac{1}{2}(h^2 + \chi_3^2) + \chi^+\chi^-$,
 $D_\mu\phi = D_\mu\chi - igv\hat{W}_\mu(0, 1)^\top$, and the potential part

$$\begin{aligned} V(\chi) &= \frac{1}{2}\mu^2(v^2 + \sqrt{2}vh + |\chi|^2) + \frac{1}{4}\lambda(v^2 + \sqrt{2}vh + |\chi|^2)^2 \\ &= \frac{1}{2}\mu^2v^2 + \frac{\lambda}{4}v^4 + \frac{1}{2}(\mu^2 + \lambda v^2)(\sqrt{2}vh + |\chi|^2) + \frac{1}{4}\lambda(\sqrt{2}vh + |\chi|^2)^2 \\ &= \frac{1}{4}\mu^2v^2 - \frac{1}{2}\mu^2h^2 + \frac{\sqrt{2}}{2}\lambda v h |\chi|^2 + \frac{\lambda}{4}|\chi|^4 \end{aligned}$$

2. The Standard Model (SM) — Higgs Mechanism

Spontaneous broken gauge symmetry

- Note that the potential is no longer zero, when there is no field!
- the real part h got a mass term with a mass $m_h^2 = -\mu^2 > 0$
- the imaginary χ_3 and charged parts χ^- have no mass: $m_\chi^2 = 0$
 \Rightarrow they became Goldstone Bosons !

- The covariant derivative part $(D_\mu\phi)^\dagger(D^\mu\phi)$ gives

$$\begin{aligned} &= (D_\mu\chi - igv\hat{W}_\mu(0, 1)^\top)^\dagger(D^\mu\chi - igv\hat{W}^\mu(0, 1)^\top) \\ &= (D_\mu\chi)^\dagger(D^\mu\chi) + igv(0, 1)\hat{W}_\mu^\dagger D^\mu\chi - igv(D_\mu\chi)^\dagger\hat{W}^\mu(0, 1)^\top \\ &\quad + g^2v^2(0, 1)\hat{W}_\mu^\dagger\hat{W}^\mu(0, 1)^\top \end{aligned}$$

- the last term gives a mass term for the gauge field: $g^2v^2W_\mu^aW^{a\mu}$

this is the Higgs effect !

2. The Standard Model (SM) — Higgs Mechanism

broken global symmetries

- for exact symmetries:
 - there are Nambu-Goldstone bosons, which are massless
- For approximate symmetries:
 - there are Pseudo-Goldstone bosons, which have a small mass

unbroken local symmetry or gauge symmetry

- gauge bosons are massless
- each gauge boson has only two degrees of freedom:
 - transverse polarisations

spontaneous broken gauge symmetry

- gauge bosons along the broken directions acquire a mass
- each such gauge boson has now three degrees of freedom:
 - transverse polarisations and a longitudinal polarisation
 - the Goldstone bosons are "eaten up"
 - and give the additional degree of freedom

2. The Standard Model (SM) — Higgs Mechanism

The Standard Model gauge groups

- $U(1)_Y = U(1)_{\text{Hypercharge}}$ with the generator Y
- $SU(2)_L = SU(2)_{\text{weak}}$ with the generators $T^i = \frac{1}{2}\sigma^i$
- $SU(2)_L \times U(1)_Y$ is spontaneously broken to $U(1)_{\text{em}} = U(1)_{\text{electro-magnetic}}$
- $SU(3) = SU(3)_{\text{color}}$ with the generators $F^i = \frac{1}{2}\lambda^i$
- $SU(3)_{\text{color}}$ is unbroken

Particles of the Standard Model

- all particles except the gauge bosons are charged under $U(1)_Y$
- all left handed fermions, the higgs and the $SU(2)$ -gauge bosons are charged under $SU(2)_L$
- only quarks and gluons are charged under $SU(3)$
- only electrically charged particles transform under $U(1)_{\text{em}}$

2. The Standard Model (SM) — Higgs Mechanism

$$SU(2)_L \times U(1)_Y \rightarrow U(1)_{em}$$

- the higgs is charged under $SU(2)_L$ and $U(1)_Y$
- its vacuum expectation value (vev) breaks both gauge groups
- the vev is electrically neutral, so it does not break $U(1)_{em}$
- the mixing between the $SU(2)$ - and $U(1)$ -gauge bosons is described by the weak mixing angle or Weinberg angle θ_w with $\sin^2 \theta_w \sim 0.23$
- with $\sigma^1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$, $\sigma^2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$, $\sigma^3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$, we can write $Y = \begin{pmatrix} y & 0 \\ 0 & y \end{pmatrix}$, where y is the Hypercharge of the corresponding particle.
 - that is $y = \frac{1}{2}$ in the case of the Higgs.

2. The Standard Model (SM) — Higgs Mechanism

$$SU(2)_L \times U(1)_Y \rightarrow U(1)_{em}$$

- writing the $U(1)_Y$ gauge field as B_μ
- the covariant derivative of the complex scalar doublet becomes

$$D_\mu \phi = \left[\partial_\mu - ig' B_\mu \begin{pmatrix} \frac{1}{2} & 0 \\ 0 & \frac{1}{2} \end{pmatrix} - ig \frac{1}{2} \begin{pmatrix} W_\mu^3 & W_\mu^1 - iW_\mu^2 \\ W_\mu^1 + iW_\mu^2 & -W_\mu^3 \end{pmatrix} \right] \begin{pmatrix} \phi_1 \\ \phi_2 \end{pmatrix}$$

- parameterizing the complex scalar doublet as $\phi = (\chi^+, v + \frac{1}{\sqrt{2}}(h + i\chi^0))^T$
— this will give the canonical normalisation of the real scalar field h
- $W_\mu^\pm := \frac{1}{\sqrt{2}}(W_\mu^1 \mp iW_\mu^2)$, $Z_\mu := \frac{g}{g_z}W_\mu^3 - \frac{g'}{g_z}B_\mu$, and $A_\mu := \frac{g'}{g_z}W_\mu^3 + \frac{g}{g_z}B_\mu$
- $g_z^2 = g^2 + g'^2$, $g_e = \frac{g'g}{g_z}$, and $\sin \theta_w = \frac{g'}{g_z} =: s_w$
- gives the covariant derivative

$$D_\mu \phi = \left[\partial_\mu - i \begin{pmatrix} g_e A_\mu + g_z(\frac{1}{2} - s_w^2)Z_\mu & \frac{g}{\sqrt{2}}W_\mu^+ \\ \frac{g}{\sqrt{2}}W_\mu^- & -\frac{g_z}{2}Z_\mu \end{pmatrix} \right] \begin{pmatrix} \chi^+ \\ v + \frac{1}{\sqrt{2}}(h + i\chi^0) \end{pmatrix}$$

2. The Standard Model (SM) — Higgs Mechanism

$$SU(2)_L \times U(1)_Y \rightarrow U(1)_{em}$$

- and $(D^\mu \phi)^\dagger (D_\mu \phi)$

$$= \left| \partial_\mu \chi^+ - i[g_e A_\mu + g_z \left(\frac{1}{2} - s_w^2\right) Z_\mu] \chi^+ - i \frac{g}{\sqrt{2}} W_\mu^+ \left[v + \frac{1}{\sqrt{2}} (h + i\chi^0) \right] \right|^2$$

$$+ \left| \partial_\mu \frac{1}{\sqrt{2}} (h + i\chi^0) - i \frac{g}{\sqrt{2}} W_\mu^- \chi^+ + i \frac{g_z}{2} Z_\mu \left[v + \frac{1}{\sqrt{2}} (h + i\chi^0) \right] \right|^2$$

gives the bilinear terms

$$\left| \partial_\mu \chi^+ - i \frac{gv}{\sqrt{2}} W_\mu^+ \right|^2 + \frac{1}{2} \left| \partial_\mu (h + i\chi^0) + i \frac{g_z v}{\sqrt{2}} Z_\mu \right|^2$$

$$= \frac{1}{2} (\partial^\mu h) (\partial_\mu h) + (\partial^\mu \chi^-) (\partial_\mu \chi^+) + \frac{1}{2} (\partial^\mu \chi^0) (\partial_\mu \chi^0)$$

$$+ \frac{igv}{\sqrt{2}} W_\mu^- \partial^\mu \chi^+ - \frac{igv}{\sqrt{2}} W_\mu^+ \partial^\mu \chi^- + \frac{g_z v}{\sqrt{2}} Z_\mu \partial^\mu \chi^0 + \frac{g^2 v^2}{2} W_\mu^+ W^{-\mu} + \frac{g_z^2 v^2}{4} Z_\mu Z^\mu$$

- W and Z bosons have different masses: $m_W^2 = \frac{g^2 v^2}{2}$ and $m_Z^2 = \frac{g_z^2 v^2}{2}$
 - their ratio is (on tree level) $\cos \theta_w = \frac{m_W}{m_Z}$

2. The Standard Model (SM) — Higgs Mechanism

$$SU(2)_L \times U(1)_Y \rightarrow U(1)_{em}$$

- the higgs field h has it's canonical kinetic term

- the higgs mass comes from the potential; with

$$\phi^\dagger \phi = \chi^- \chi^+ + |v + \frac{1}{\sqrt{2}}(h + i\chi^0)|^2 = v^2 + \sqrt{2}vh + \frac{1}{2}(h^2 + \chi^{02}) + \chi^- \chi^+:$$

$$\begin{aligned} V(h, \chi) &= +\frac{1}{2}(\mu^2 + \frac{1}{2}\lambda v^2)v^2 + \frac{1}{2}(\mu^2 + \lambda v^2)(\sqrt{2}vh + \frac{1}{2}\chi^{02} + \chi^- \chi^+) \\ &\quad + \frac{1}{2}(\frac{1}{2}\mu^2 + \frac{3}{2}\lambda v^2)h^2 + \frac{\lambda}{2\sqrt{2}}vh^3 + \frac{\lambda}{16}h^4 \\ &\quad + \frac{\lambda}{4}(2\sqrt{2}vh + h^2)(\frac{1}{2}\chi^{02} + \chi^- \chi^+) + \frac{\lambda}{4}(\frac{1}{2}\chi^{02} + \chi^- \chi^+)^2 \\ &= \frac{1}{4}\mu^2 v^2 - \frac{1}{2}\mu^2 h^2 + \frac{\lambda v}{2\sqrt{2}}h^3 + \frac{\lambda}{16}h^4 \\ &\quad + \frac{\lambda}{4}(2\sqrt{2}vh + h^2)(\frac{1}{2}\chi^{02} + \chi^- \chi^+) + \frac{\lambda}{4}(\frac{1}{2}\chi^{02} + \chi^- \chi^+)^2 \end{aligned}$$

- $m_h^2 = -\mu^2 = \lambda v^2 > 0$

- the Goldstone bosons still have masses $m_{\chi^0}^2 = m_{\chi^\pm}^2 = 0$

2. The Standard Model (SM) — Higgs Mechanism

Gauge fixing $SU(2)_L \times U(1)_Y \rightarrow U(1)_{em}$

a convenient and often used gauge choice is the R_ξ -gauge with

$$G^\pm = \partial^\mu W_\mu^\pm - \xi_w m_W \chi^\pm \quad \text{and} \quad G^0 = \partial^\mu Z_\mu - \xi_z m_Z \chi^0$$

and

$$\mathcal{L}_{\text{gaugefixing}} \simeq \frac{1}{\xi_w} |G^\pm|^2 + \frac{1}{2\xi_z} (G^0)^2$$

- the first part of the squares, $\frac{1}{\xi_w} (\partial^\mu W_\mu^+) (\partial^\nu W_\nu^-)$ or $\frac{1}{2\xi_z} (\partial^\mu Z_\mu)^2$ allows to calculate the propagator:

$$\langle V(k) V(-k) \rangle = \frac{-i}{k^2 - m_V^2} \left(g^{\mu\nu} - \frac{(1 - \xi_V) k^\mu k^\nu}{k^2 - \xi_V m_V^2} \right), \quad \text{with} \quad V_\mu = W_\mu^\pm, Z_\mu$$

- the second part adds with bilinear terms of $(D^\mu \phi)^\dagger (D_\mu \phi)$ to form total derivatives:

$$\partial^\mu \left[\frac{igv}{\sqrt{2}} (W_\mu^- \chi^+ - W_\mu^+ \chi^-) + \frac{g_z v}{\sqrt{2}} Z_\mu \chi^0 \right]$$

- the third part gives mass terms for the Goldstone bosons:

$$\xi_w m_W^2 \chi^+ \chi^- \quad \text{and} \quad \frac{1}{2} \xi_z m_Z^2 (\chi^0)^2$$

- these masses, $\xi_w m_W^2$ and $\xi_z m_Z^2$, are **gauge dependent**
- \Rightarrow they cannot describe physical particles

2. The Standard Model (SM) — Higgs Mechanism

Gauge fixing $SU(2)_L \times U(1)_Y \rightarrow U(1)_{em}$

another possible gauge is the **unitary gauge** ($\xi_V \rightarrow \infty$)

- the higgs is parameterized as $\phi = U(0, v + \frac{1}{\sqrt{2}}h)^\top$ with $U \in SU(2)$
- U has then the gauge transformation $U \rightarrow U' = U_{\text{gauge}}U$
- the gauge choice $U_{\text{gauge}} = U^{-1}$ gets rid of the Goldstone bosons.
- but the vector boson propagator

$$\langle V(k)V(-k) \rangle = \frac{-i}{k^2 - m_V^2} \left(g^{\mu\nu} - \frac{k^\mu k^\nu}{m_V^2} \right), \quad \text{with} \quad V_\mu = W_\mu^\pm, Z_\mu$$

does not fall off for $k^2 \rightarrow \infty$

\Rightarrow Problems in the high energy limit

\Rightarrow Problems with loops !

Different gauge choices for solving different problems !

2. The Standard Model (SM) — Higgs Mechanism

gauge transformations on fermions

$SU(3)_{\text{color}}$ gauge transformations only affect the **color** of quarks and gluons:

- the gauge transformation can be written as a matrix in color space:

$$U_s := U_{(\varphi_s)}^{rs} = (e^{ig_s T^a \varphi_s^a})^{rs}$$

- quarks transform like a normal vector in color space:

$$q^r \rightarrow q'^r = U_{(\varphi_s)}^{rs} q^s \quad \text{or simply} \quad q \rightarrow q' = U_s q$$

- antiquarks transform with the inverse transformation: $\bar{q} \rightarrow \bar{q}' = \bar{q} U_s^{-1}$

- gluons transform with both: $G_\mu^a T^a \rightarrow G_\mu'^a T^a = U_s G_\mu^a T^a U_s^{-1} + \frac{i}{g_s} (\partial_\mu U_s) U_s^{-1}$

- that gives for the change of the gluon field $\delta G_\mu^a = G_\mu'^a - G_\mu^a$

$$\delta G_\mu^a = -\partial_\mu \varphi_s^a + g_s f^{abc} G_\mu^b \varphi_s^c = -D_\mu^{ac} \varphi_s^c$$

- from the gauge fixing function $F^a = \partial^\mu G_\mu^a$ one obtains the Faddeev-Popov determinant

$$\Delta_g[G_\mu^a] = \text{Det} \left[\frac{\delta F^a}{\delta \varphi_s^c} \right] = \text{Det} \left[-\partial^\mu D_\mu^{ac} \right] = \int \mathcal{D}b \mathcal{D}c (\partial^\mu b^a) [D_\mu^{ac} c^c] = \int \mathcal{D}b \mathcal{D}c (\partial^\mu b^a) [\partial_\mu \delta^{ac} - g_s f^{abc} G_\mu^b] c^c$$

- ghosts η are in the adjoint representation: $\eta^a T^a \rightarrow \eta'^a T^a = U_s \eta^a T^a U_s^{-1} \Rightarrow \delta \eta^a = f^{abc} \eta^b \varphi_s^c$

- the QCD Lagrangian is invariant under these gauge transformations

$$\mathcal{L} \rightarrow \mathcal{L}' = \bar{q}' (i \not{D}' - m) q' = \bar{q} U_s^{-1} (i U_s \not{D} U_s^{-1} - m) U_s q = \bar{q} (i \not{D} - m) q = \mathcal{L}$$

- \Rightarrow because left-handed and right-handed quarks transform in the same way

2. The Standard Model (SM) — Higgs Mechanism

$SU(2)_L \times U(1)_Y$ gauge transformations on fermions

$SU(2)_L$ gauge transformations affect only **left-handed fermions** and the **Higgs doublet**

$$\ell = \begin{pmatrix} \nu_L \\ e_L \end{pmatrix}, \quad q = \begin{pmatrix} u_L \\ d_L \end{pmatrix}, \quad \phi = \begin{pmatrix} \phi^+ \\ \phi^0 \end{pmatrix}$$

- the gauge transformation can be written as a 2×2 -matrix

$$U_L := U_{(\varphi_L)}^{jk} = (e^{igT^a\varphi_L^a})^{jk} = e^{\frac{i}{2}g\sigma^a\varphi_L^a}$$

- the doublets $2_L = \ell, q, \phi$ transform like a normal vectors: $2_L \rightarrow 2'_L = U_L 2_L$
- antidoublets transform with the inverse transformation: $\bar{2}_L \rightarrow \bar{2}'_L = \bar{2}_L U_L^{-1}$
- $SU(2)_L$ gauge bosons transform with both: $W_\mu^a T^a \rightarrow W_\mu'^a T^a = U_L W_\mu^a T^a U_L^{-1} + \frac{i}{g}(\partial_\mu U_L) U_L^{-1}$
 - that gives $\delta W_\mu^a = W_\mu'^a - W_\mu^a = -\partial_\mu \varphi_L^a + g\epsilon^{abc} W_\mu^b \varphi_L^c = -D_\mu^{ac} \varphi_L^c$

$U(1)_Y$ gauge transformation affects **all fermions** and the **Higgs doublet**

$$\ell, \quad e_R, \quad q, \quad u_R, \quad d_R, \quad \phi$$

- but **left-handed** and **right-handed** fermions **differently**
- the gauge transformation can be written as a simple phase transformation $U_Y = e^{ig'y\varphi_Y}$
 - y_f is the Hypercharge of the field f on which the $U(1)_Y$ transformation acts:

$$f \rightarrow f' = e^{ig'y_f\varphi_Y} f \quad \text{and} \quad \bar{f} \rightarrow \bar{f}' = e^{-ig'y_f\varphi_Y} \bar{f}$$

2. The Standard Model (SM) — Higgs Mechanism

$SU(2)_L \times U(1)_Y$ gauge transformations on fermions

- the **Lagrangian** has to be invariant under $SU(2)_L \times U(1)_Y$
 - but we cannot even construct an invariant mass term!
 - * as the mass term mixes left-handed and right-handed fermions
- ⇒ The Standard Model is a **mass-less chiral theory**

- to build a **Lagrangian** invariant under $SU(2)_L \times U(1)_Y$
 - we first combine doublets in an invariant way:

$$(\bar{\nu}_\ell, \bar{\ell}_L) \cdot \phi = \bar{\nu}_\ell \phi_1 + \bar{\ell}_L \phi_2 \quad (\bar{u}_L, \bar{d}_L) \cdot \phi = \bar{u}_L \phi_1 + \bar{d}_L \phi_2$$

and

$$\det [(\bar{u}_L, \bar{d}_L)^\top, \phi^*] = \det \begin{vmatrix} \bar{u}_L & \phi_1^* \\ \bar{d}_L & \phi_2^* \end{vmatrix} = \bar{u}_L \phi_2^* - \bar{d}_L \phi_1^*$$

- * these products of fields are fermionic and transform under $U(1)_Y$
- the right-handed singlets have to guarantee $U(1)_Y$ conservation:

$$(\bar{\nu}_\ell \phi_1 + \bar{\ell}_L \phi_2) \ell_R \quad (\bar{u}_L \phi_1 + \bar{d}_L \phi_2) d_R \quad (\bar{u}_L \phi_2^* - \bar{d}_L \phi_1^*) u_R$$

- * this restricts the possible values of y_f for the right-handed singlets

2. The Standard Model (SM) — Higgs Mechanism

$SU(2)_L \times U(1)_Y$ gauge transformations on fermions

- the three gauge invariant terms

$$(\bar{\nu}_\ell \phi_1 + \bar{\ell}_L \phi_2) \ell_R \quad (\bar{u}_L \phi_1 + \bar{d}_L \phi_2) d_R \quad (\bar{u}_L \phi_2^* - \bar{d}_L \phi_1^*) u_R$$

describe couplings of the mass less fermions to the Higgs doublet

- they can have arbitrary coupling constants
- considering that the fermions come in **three generations**
 - ⇒ coupling constants can be 3×3 matrices: **Yukawa matrices**
- the matrices for u_R and d_R are independent
 - diagonalization (singular value decomposition) of Y_u and Y_d differs
 - ⇒ leads to mixing between generations and allows for CP -violation
- introducing the vev $\phi_1 \rightarrow 0$, $\phi_2 \rightarrow v$ and "masses"

$$m_\ell = vY_\ell \quad , \quad m_d = vY_d \quad , \quad \text{and} \quad m_u = vY_u$$

we get the fermion mass terms:

$$\mathcal{L}_{\text{mass}} = m_\ell \bar{\ell}_L \ell_R + m_d \bar{d}_L d_R + m_u \bar{u}_L u_R$$