

6. General Relativity — Astro Particle Physics

description of the very early universe:

- curved space-time in the context of particle physics
- we need the particle physics description
 - formulated in Hamiltonian mechanics
 - ⇒ Quantum Mechanics
 - or formulated in Lagrangian mechanics
 - ⇒ using an action principle
 - * Quantum Mechanics through the Pathintegral formulation
- Special Relativity is the local symmetry group
 - ⇒ Lagrangian mechanics as the unifying framework
- ? Can we formulate General Relativity in a Lagrangian picture?
 - ? what is the dynamic degree of freedom?
 - * the metric
 - ? what are the consequences?

6. General Relativity — Lagrangian formulation of gravity

we can derive Einsteins equations also from an action:

- the starting point is the Einstein-Hilbert action

$$S = \int d^4x \sqrt{-g} \left(\mathcal{L}_m - \frac{R}{16\pi G} \right)$$

- $R = R(g_{\mu\nu})$ is the Ricci scalar, G is Newtons gravitational constant
- $g = \det(g_{\mu\nu})$ is the determinant of the metric
- why this $\sqrt{-g}$?

- using the differential calculus the volume element should be written as a 4-form

$$d^4x = dx^0 \wedge dx^1 \wedge dx^2 \wedge dx^3 = \frac{1}{4!} \epsilon_{\mu\nu\rho\sigma} dx^\mu \wedge dx^\nu \wedge dx^\rho \wedge dx^\sigma$$

- that transforms under coordinate transformations $x \rightarrow x'$ with $\frac{\partial x^\mu}{\partial x'^\alpha} = \Lambda^\mu_\alpha$

$$d^4x = \frac{1}{4!} \epsilon_{\mu\nu\rho\sigma} \Lambda^\mu_\alpha \Lambda^\nu_\beta \Lambda^\rho_\gamma \Lambda^\sigma_\delta dx'^\alpha \wedge dx'^\beta \wedge dx'^\gamma \wedge dx'^\delta = \det[\Lambda] d^4x'$$

- the metric transforms under these coordinate transformations $x \rightarrow x'$

$$g'_{\alpha\beta} = \Lambda^\mu_\alpha \Lambda^\nu_\beta g_{\mu\nu} \quad \Rightarrow \quad g' = \det[g'_{\alpha\beta}] = \det[\Lambda^\mu_\alpha \Lambda^\nu_\beta g_{\mu\nu}] = \det[\Lambda]^2 g$$

- since $\det[\eta_{\mu\nu}] = \det[\text{diag}(1, -1, -1, -1)] = -1 \quad \Rightarrow \quad g = \det[g_{\mu\nu}] < 0$

$\Rightarrow (\sqrt{-g} d^4x)$ is invariant:

$$d^4x' \sqrt{-g'} = \det[\Lambda]^{-1} d^4x \sqrt{-g \det[\Lambda]^2} = d^4x \sqrt{-g}$$

6. General Relativity — Lagrangian formulation of gravity

we can derive Einsteins equations also from an action:

- the variation of the action gives the Euler-Lagrange equations
- varying the Einstein-Hilbert action we get

$$\delta S = \int d^4x \left(\delta(\sqrt{-g}\mathcal{L}_m) - \delta(\sqrt{-g})\frac{g^{\alpha\beta}R_{\alpha\beta}}{16\pi G} - \sqrt{-g}\frac{(\delta g^{\alpha\beta})R_{\alpha\beta}}{16\pi G} - \sqrt{-g}\frac{g^{\alpha\beta}(\delta R_{\alpha\beta})}{16\pi G} \right)$$

- the first term is the variation of the matter Lagrangian
- the second term can be calculated from the identity

$$\text{Tr}[\ln M] = \ln(\det[M]) \quad \Rightarrow \quad \text{Tr}[M^{-1}\delta M] = \det[M]^{-1}\delta \det[M]$$

* setting $M = g^{\mu\nu}$ we have

$$M^{-1} = g_{\mu\nu} \quad \text{and} \quad \det[M] = \det[g^{\mu\nu}] = \det[(g_{\mu\nu})^{-1}] = 1/\det[g_{\mu\nu}] = 1/g$$

* so $\text{Tr}[M^{-1}\delta M] = g_{\mu\nu}\delta g^{\mu\nu} = g\delta\frac{1}{g} = -g\frac{\delta g}{g^2} = -\frac{\delta g}{g}$

$$\Rightarrow \delta\sqrt{-g} = \frac{-\delta g}{2\sqrt{-g}} = -\frac{1}{2\sqrt{-g}}(-g)g_{\mu\nu}\delta g^{\mu\nu} = -\frac{1}{2}\sqrt{-g}g_{\mu\nu}\delta g^{\mu\nu}$$

- the third term has already the wanted differential $\delta g^{\mu\nu}$
- the fourth term gives a total divergence (see next slide)
- \Rightarrow it does not contribute to the equations of motion

6. General Relativity — Lagrangian formulation of gravity

we can derive Einsteins equations also from an action:

- the variation of the Ricci tensor is the contracted variation of the Riemann tensor:

$$\delta R_{\alpha\beta} = \delta R^{\lambda}_{\alpha\lambda\beta} = \delta(\delta^{\kappa}_{\lambda} R^{\lambda}_{\alpha\kappa\beta}) = \delta^{\kappa}_{\lambda} \delta[\partial_{\kappa} \Gamma^{\lambda}_{\alpha\beta} + \Gamma^{\lambda}_{\kappa\rho} \Gamma^{\rho}_{\alpha\beta} - (\kappa \leftrightarrow \beta)]$$

- the trick in the calculation is to realize, that $\delta\Gamma$ is a difference of two connections

\Rightarrow it is a tensor and we can calculate the covariant derivative:

$$\nabla_{\kappa} \delta\Gamma^{\lambda}_{\alpha\beta} = \partial_{\kappa} \delta\Gamma^{\lambda}_{\alpha\beta} + \Gamma^{\lambda}_{\kappa\nu} \delta\Gamma^{\nu}_{\alpha\beta} - \Gamma^{\mu}_{\kappa\alpha} \delta\Gamma^{\lambda}_{\mu\beta} - \Gamma^{\mu}_{\kappa\beta} \delta\Gamma^{\lambda}_{\alpha\mu}$$

- the antisymmetric part in $(\kappa \leftrightarrow \beta)$ gives

$$\begin{aligned} \nabla_{\kappa} \delta\Gamma^{\lambda}_{\alpha\beta} - \nabla_{\beta} \delta\Gamma^{\lambda}_{\alpha\kappa} &= \partial_{\kappa} \delta\Gamma^{\lambda}_{\alpha\beta} + \Gamma^{\lambda}_{\kappa\nu} \delta\Gamma^{\nu}_{\alpha\beta} - \Gamma^{\mu}_{\kappa\alpha} \delta\Gamma^{\lambda}_{\mu\beta} - \Gamma^{\mu}_{\kappa\beta} \delta\Gamma^{\lambda}_{\alpha\mu} \\ &\quad - \partial_{\beta} \delta\Gamma^{\lambda}_{\alpha\kappa} - \Gamma^{\lambda}_{\beta\nu} \delta\Gamma^{\nu}_{\alpha\kappa} + \Gamma^{\mu}_{\beta\alpha} \delta\Gamma^{\lambda}_{\mu\kappa} + \Gamma^{\mu}_{\beta\kappa} \delta\Gamma^{\lambda}_{\alpha\mu} \\ &= \partial_{\kappa} \delta\Gamma^{\lambda}_{\alpha\beta} + \Gamma^{\lambda}_{\kappa\mu} \delta\Gamma^{\mu}_{\alpha\beta} + \delta\Gamma^{\lambda}_{\kappa\mu} \Gamma^{\mu}_{\alpha\beta} - (\kappa \leftrightarrow \beta) \\ &= \delta[\partial_{\kappa} \Gamma^{\lambda}_{\alpha\beta} + \Gamma^{\lambda}_{\kappa\mu} \Gamma^{\mu}_{\alpha\beta}] - (\kappa \leftrightarrow \beta) \\ &= \delta R^{\lambda}_{\alpha\kappa\beta} \end{aligned}$$

- so the term $g^{\alpha\beta}(\delta R_{\alpha\beta})$ can be written as

$$\begin{aligned} g^{\alpha\beta} \delta R_{\alpha\beta} &= g^{\alpha\beta} (\nabla_{\lambda} \delta\Gamma^{\lambda}_{\alpha\beta} - \nabla_{\beta} \delta\Gamma^{\lambda}_{\alpha\lambda}) = \nabla^{\kappa} g_{\kappa\lambda} g^{\alpha\beta} \delta\Gamma^{\lambda}_{\alpha\beta} - \nabla^{\alpha} \delta\Gamma^{\lambda}_{\alpha\lambda} \\ &= \nabla^{\alpha} [g_{\alpha\beta} g^{\mu\nu} \delta\Gamma^{\beta}_{\mu\nu} - \delta\Gamma^{\lambda}_{\alpha\lambda}] = \nabla^{\alpha} V_{\alpha} \end{aligned}$$

- and the integral over it gives only the boundary terms

$$\int_{\Omega} d^4x \sqrt{-g} \nabla^{\alpha} [g_{\alpha\beta} g^{\mu\nu} \delta\Gamma^{\beta}_{\mu\nu} - \delta\Gamma^{\lambda}_{\alpha\lambda}] = [g_{\alpha\beta} g^{\mu\nu} \delta\Gamma^{\beta}_{\mu\nu} - \delta\Gamma^{\lambda}_{\alpha\lambda}]_{\partial\Omega} \rightarrow 0$$

6. General Relativity — Lagrangian formulation of gravity

we can derive Einsteins equations also from an action:

- varying the matter Lagrangian with respect to $\delta g^{\mu\nu}$

$$\frac{\delta S_m}{\delta g^{\mu\nu}} = \frac{\partial(\sqrt{-g}\mathcal{L}_m)}{\partial g^{\mu\nu}} - \partial_\rho \frac{\partial(\sqrt{-g}\mathcal{L}_m)}{\partial g^{\mu\nu},\rho} =: \frac{\sqrt{-g}}{2} T_{\mu\nu}$$

gives the **definition** of the symmetric **Hilbert** (stress energy) **tensor** !

- the canonical stress energy tensor (using $\Phi_{,\mu} := \partial_\mu \Phi$)

$$T^\mu{}_\nu := \frac{\partial \mathcal{L}_m}{\partial \Phi_{,\mu}} \Phi_{,\nu} - \mathcal{L}_m \delta^\mu{}_\nu$$

is not necessarily symmetric (when both indices are up or down)

- the **Belinfante-Rosenfeld** (stress-energy) **tensor**

$$T_B^{\mu\nu} = T^\mu{}_\lambda g^{\lambda\nu} + \partial_\lambda (S^{\mu\nu\lambda} + S^{\nu\mu\lambda} - S^{\lambda\nu\mu})$$

- adds a divergence of the spin part $S^{\mu\nu\lambda}$ to make it symmetric
- it is equivalent to the Hilbert tensor

6. General Relativity — Lagrangian formulation of gravity

we can derive Einsteins equations also from an action:

- putting the parts of the variation with respect to $\delta g^{\mu\nu}$ together

$$\begin{aligned}\frac{\delta S}{\delta g^{\mu\nu}} = 0 &= \frac{\sqrt{-g}}{2} T_{\mu\nu} - \left(-\frac{1}{2}\sqrt{-g} g_{\mu\nu}\right) \frac{R}{16\pi G} - \sqrt{-g} \frac{R_{\mu\nu}}{16\pi G} \\ &= -\frac{\sqrt{-g}}{16\pi G} \left(R_{\mu\nu} - \frac{1}{2}g_{\mu\nu}R - 8\pi G T_{\mu\nu}\right)\end{aligned}$$

⇒ we get Einsteins equations

- we get also the field equations in the curved space time
 - they are the normal Euler-Lagrange equations

$$\frac{\delta S}{\delta \Phi} = 0 = \sqrt{-g} \frac{\partial \mathcal{L}_m}{\partial \Phi} - \partial_\rho \left(\sqrt{-g} \frac{\partial \mathcal{L}_m}{\partial \Phi_{,\rho}} \right) = \sqrt{-g} \left(\frac{\partial \mathcal{L}_m}{\partial \Phi} - \nabla_\rho \frac{\partial \mathcal{L}_m}{\partial \Phi_{,\rho}} \right)$$

- * the last equality only holds, if $\frac{\partial \mathcal{L}_m}{\partial \Phi_{,\rho}} = V^\rho$ is a vector

6. General Relativity — examples of matter Lagrangians

complex scalar Lagrangian:

- using only first derivatives of the complex scalar field ϕ
 - the flat space Lagrangian

$$\mathcal{L}_\phi = (\partial^\mu \phi^*)(\partial_\mu \phi) - m_\phi^2 \phi^* \phi - V(\phi^* \phi)$$

- can be written with covariant derivatives as

$$\mathcal{L}_\phi = g^{\mu\nu} (\nabla_\mu \phi^*)(\nabla_\nu \phi) - m_\phi^2 \phi^* \phi - V(\phi^* \phi)$$

- the canonical stress energy tensor

$$\begin{aligned} T^\mu{}_\nu &= \frac{\partial \mathcal{L}_\phi}{\partial \phi_{,\mu}} \phi_{,\nu} + \frac{\partial \mathcal{L}_\phi}{\partial \phi^*_{,\mu}} \phi^*_{,\nu} - \mathcal{L}_m \delta^\mu{}_\nu \\ &= g^{\lambda\mu} (\nabla_\lambda \phi^*) \phi_{,\nu} + g^{\lambda\mu} (\nabla_\lambda \phi) \phi^*_{,\nu} - \delta^\mu{}_\nu g^{\lambda\kappa} (\nabla_\lambda \phi^*) (\nabla_\kappa \phi) \\ &\quad + [m_\phi^2 \phi^* \phi + V(\phi^* \phi)] \delta^\mu{}_\nu \end{aligned}$$

is already symmetric (when both indices are up or down)

- and the same as the Hilbert tensor (since $\nabla_\mu \phi = \partial_\mu \phi = \phi_{,\mu}$)

6. General Relativity — examples of matter Lagrangians

Maxwell Lagrangian:

- using the vector potential A_μ and its fieldstrength $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$
 - the flat space Lagrangian is $\mathcal{L}_A = -\frac{1}{4}F^{\mu\nu}F_{\mu\nu} = -\frac{1}{4}F^2$
 - * the vector potential can be written as a one-form $A = A_\mu dx^\mu$
 - * the fieldstrength as a two-form $F = \frac{1}{2}F_{\mu\nu}dx^\mu dx^\nu = dA$
 - ⇒ there is no metric dependence in $F_{\mu\nu}$
 - the Lagrangian on a curved manifold is just

$$\mathcal{L}_A = -\frac{1}{4}g^{\alpha\mu}g^{\beta\nu}F_{\alpha\beta}F_{\mu\nu} = -\frac{1}{2}A^{\beta,\alpha}(A_{\beta,\alpha} - A_{\alpha,\beta})$$

- the canonical stress energy tensor

$$\begin{aligned}T^\mu{}_\nu &= \frac{\partial \mathcal{L}_A}{\partial A_{\rho,\mu}} A_{\rho,\nu} - \mathcal{L}_A \delta^\mu{}_\nu \\ &= \left[-\frac{1}{2}g^{\beta\rho}g^{\alpha\mu}(A_{\beta,\alpha} - A_{\alpha,\beta}) - \frac{1}{2}A^{\beta,\alpha}(\delta_\beta^\rho \delta_\alpha^\mu - \delta_\alpha^\rho \delta_\beta^\mu) \right] A_{\rho,\nu} + \frac{1}{4}\delta^\mu{}_\nu F^2 \\ &= -F^{\mu\rho}A_{\rho,\nu} + \frac{1}{4}\delta^\mu{}_\nu F^2\end{aligned}$$

is not symmetric (when both indices are up or down)

6. General Relativity — examples of matter Lagrangians

Maxwell Lagrangian:

- using

- the generators of Lorentz transformations acting on four-vectors

$$(\mathcal{J}^{\mu\nu})^\alpha{}_\beta = i(g^{\alpha\mu}\delta^\nu_\beta - g^{\alpha\nu}\delta^\mu_\beta)$$

- the spin tensor

$$S^{\mu\nu\lambda} = \frac{i}{2} \frac{\partial \mathcal{L}_A}{\partial A^\alpha{}_{,\mu}} (\mathcal{J}^{\nu\lambda})^\alpha{}_\beta A^\beta = -\frac{1}{2} g_{\rho\alpha} F^{\rho\mu} (g^{\alpha\lambda}\delta^\nu_\beta - g^{\alpha\nu}\delta^\lambda_\beta) A^\beta = \frac{1}{2} (F^{\mu\lambda} A^\nu - F^{\mu\nu} A^\lambda) = -S^{\mu\lambda\nu}$$

- its derivative

$$\begin{aligned} \partial_\lambda (S^{\mu\nu\lambda} + S^{\nu\mu\lambda} - S^{\lambda\nu\mu}) &= -\frac{1}{2} \partial_\lambda (F^{\lambda\mu} A^\nu + F^{\mu\nu} A^\lambda + F^{\lambda\nu} A^\mu + F^{\nu\mu} A^\lambda - F^{\mu\lambda} A^\nu - F^{\lambda\nu} A^\mu) \\ &= -\partial_\lambda (F^{\lambda\mu} A^\nu) = -(\partial_\lambda F^{\lambda\mu}) A^\nu - g_{\alpha\beta} F^{\alpha\mu} A^{\nu,\beta} = -g_{\alpha\beta} F^{\alpha\mu} A^{\nu,\beta} \end{aligned}$$

- we get the Belinfante-Rosenfeld tensor

$$\begin{aligned} T_B^{\mu\nu} &= T^\mu{}_\lambda g^{\lambda\nu} + \partial_\lambda (S^{\mu\nu\lambda} + S^{\nu\mu\lambda} - S^{\lambda\nu\mu}) \\ &= g_{\alpha\beta} F^{\alpha\mu} A^{\beta,\nu} + \frac{1}{4} g^{\mu\nu} F^2 - g_{\alpha\beta} F^{\alpha\mu} A^{\nu,\beta} = -g_{\alpha\beta} F^{\alpha\mu} F^{\beta\nu} + \frac{1}{4} g^{\mu\nu} F^2 \end{aligned}$$

- the Hilbert tensor

$$\begin{aligned} T_{\mu\nu} &= 2 \frac{\partial}{\partial g^{\mu\nu}} \left[-\frac{1}{4} g^{\alpha\rho} g^{\beta\sigma} F_{\alpha\beta} F_{\rho\sigma} \right] - g_{\mu\nu} \mathcal{L}_A = -\frac{1}{2} [\delta_\mu^\alpha g_\nu^\rho g^{\beta\sigma} + g^{\alpha\rho} \delta_\mu^\beta g_\nu^\sigma] F_{\alpha\beta} F_{\rho\sigma} - g_{\mu\nu} \mathcal{L}_A \\ &= -\frac{1}{2} [F_{\mu\beta} F_{\nu\sigma} g^{\beta\sigma} + F_{\alpha\mu} F_{\rho\nu} g^{\alpha\rho}] - g_{\mu\nu} \mathcal{L}_A = -F_{\mu\beta} F_{\nu\sigma} g^{\beta\sigma} + \frac{1}{4} g_{\mu\nu} F^2 \end{aligned}$$

gives the same result

6. General Relativity — examples of matter Lagrangians

Dirac Lagrangian:

- using the Dirac spinor ψ and its adjoint $\bar{\psi} = \psi^\dagger \gamma^0$
 - the flat space Lagrangian $\mathcal{L}_\psi = \bar{\psi}(i\not{\partial} - m)\psi$
 - can be written with a covariant derivative as

$$\mathcal{L}_\psi = \bar{\psi}(ig^{\mu\nu}\gamma_\mu\nabla_\nu - m)\psi$$

⇒ the **covariant derivative** has to use the **spin connection** !

- the canonical stress energy tensor

$$T^\mu{}_\nu = \frac{\partial \mathcal{L}_\psi}{\partial \psi_{,\mu}} \psi_{,\nu} + \bar{\psi}_{,\nu} \frac{\partial \mathcal{L}_\psi}{\partial \bar{\psi}_{,\mu}} - \mathcal{L}_\psi \delta^\mu{}_\nu = \bar{\psi} i \gamma^\mu \psi_{,\nu} + \bar{\psi}_{,\nu} \cdot 0 - \delta^\mu{}_\nu \bar{\psi} (i \gamma^\lambda \nabla_\lambda - m) \psi$$

is not symmetric (when both indices are up or down)

⇒ the Belinfante-Rosenfeld tensor is needed with the spintensor $S^{\mu\nu\lambda} = \frac{i}{2} \frac{\partial \mathcal{L}_\psi}{\partial \psi_{,\lambda}^\alpha} (\mathcal{J}^{\mu\nu})^\alpha{}_\beta \psi^\beta$

* α is the spinor index of the Dirac spinor ψ

* $(\mathcal{J}^{\mu\nu})^\alpha{}_\beta = -\frac{i}{4} [\gamma^\mu, \gamma^\nu]^\alpha{}_\beta$ generates the Lorentz transformation on the spinors

– for the Hilbert tensor $T_{\mu\nu} = \frac{2}{\sqrt{-g}} \frac{\delta(\sqrt{-g}\mathcal{L}_\psi)}{\delta g^{\mu\nu}} = 2 \frac{\delta \mathcal{L}_\psi}{\delta g^{\mu\nu}} - g_{\mu\nu} \mathcal{L}_\psi$

* we have to include the dependence of the spin connections on the metric

6. General Relativity — paradigm of inflation

most models of inflation assume one or more scalar fields

- taking real scalar fields ϕ_k with the abbreviation $\phi^2 = \sum_k \phi_k^2$

$$S_\phi = \int d^4x \sqrt{-g} \mathcal{L}_\phi = \int d^4x \sqrt{-g} \frac{1}{2} \sum_k g^{\mu\nu} (\partial_\mu \phi_k) (\partial_\nu \phi_k) - V(\phi^2)$$

- we get the field equations

$$\begin{aligned} \frac{\delta S_\phi}{\delta \phi_j(y)} = 0 &= \int d^4x \sqrt{-g} \sum_k g^{\mu\nu} (\partial_\mu \delta_k^j \delta(x-y)) (\partial_\nu \phi_k) - V'(\phi^2) 2\phi_j(x) \delta(x-y) \\ &= - \int d^4x \delta(x-y) \partial_\mu [\sqrt{-g} g^{\mu\nu} (\partial_\nu \phi_j)] + \sqrt{-g} V'(\phi^2) 2\phi_j(y) \\ &= -\sqrt{-g} (\nabla_\mu [g^{\mu\nu} (\partial_\nu \phi_j)] + 2\phi_j V') = -\sqrt{-g} (\nabla^\nu (\partial_\nu \phi_j) + 2\phi_j V') \end{aligned}$$

- and the stress energy tensor

$$T_{\mu\nu} = 2 \frac{\partial \mathcal{L}_\phi}{\partial g^{\mu\nu}} - g_{\mu\nu} \mathcal{L}_m = \sum_b (\partial_\mu \phi_b) (\partial_\nu \phi_b) - g_{\mu\nu} \left[\frac{1}{2} \sum_b (\partial^\rho \phi_b) (\partial_\rho \phi_b) - V(\phi^2) \right]$$

$$T_{00} = \frac{1}{2} \sum_b [\dot{\phi}_b^2 + (\vec{\partial} \phi_b)^2] + V(\phi^2) = H(\phi)$$

$$T_{0i} = \sum_b \dot{\phi}_b (\partial_i \phi_b)$$

$$T_{ii} = \sum_b [(\partial_i \phi_b)^2 + \frac{a^2}{2} \dot{\phi}_b^2 - \frac{a^2}{2} (\vec{\partial} \phi_b)^2] - a^2 V(\phi^2)$$

$$T_{jk} = \sum_b (\partial_j \phi_b) (\partial_k \phi_b)$$

- for a homogeneous and isotropic field, we can set $(\partial_j \phi_b) \rightarrow 0$ so $(\vec{\partial} \phi_b) \rightarrow 0$, too)

- that gives us $\rho = \frac{1}{2} \sum_b \dot{\phi}_b^2 + V$ and $\mathbf{p} = \frac{1}{2} \sum_b \dot{\phi}_b^2 - V$

6. General Relativity — paradigm of inflation

using only a single scalar field

- with a sizeable, but slowly varying potential $V(\phi^2)$
- and ϕ slowly varying, i.e. $\dot{\phi} \ll V$
 - we get the conditions like with the cosmological constant:
 - * $\rho > 0$ and $\mathbf{p} < -\frac{1}{3}\rho$

⇒ the scalar field does not act like "normal" matter

- using the Friedmann equations for the Robertson-Walker metric

$$\frac{1}{2}R_{ii} + \frac{1}{6}R_{00} = \frac{\dot{a}^2 + k}{a^2} = \frac{4\pi G}{3}(\dot{\phi}^2 + 2V) \quad \frac{1}{3}R_{00} = -\frac{\ddot{a}}{a} = \frac{8\pi G}{3}(\dot{\phi}^2 - V)$$

- together with the field equations, remembering $(\partial_j \phi_b) \rightarrow 0$,

$$0 = g^{\mu\nu} \nabla_\mu (\partial_\nu \phi) + 2\phi V' = g^{\mu\nu} \partial_\mu (\partial_\nu \phi) + g^{\mu\nu} \Gamma_{\mu\nu}^\rho (\partial_\rho \phi) + 2\phi V' = \ddot{\phi} - 3\frac{\dot{a}}{a}\dot{\phi} + 2\phi V'$$

- making the ansatz $a = ce^{H_{\text{infl}}t}$ we get

$$H_{\text{infl}}^2 + \frac{k}{a^2} = \frac{4\pi G}{3}(2V + \dot{\phi}^2) \quad H_{\text{infl}}^2 = \frac{4\pi G}{3}(2V - 2\dot{\phi}^2)$$

⇒ $k = 4\pi G a^2 \dot{\phi}^2 \geq 0$ ⇒ only flat or de Sitter ... consistent with measurements

- and $H_{\text{infl}} \sim \frac{8\pi}{3} \frac{V}{M_P^2}$ for $H_{\text{infl}} t \sim 60$ ⇒ $V \sim \frac{45 t_P}{2\pi} M_P^2 \sim 8 \times 10^{-12} M_P^2 \sim (3.45 \times 10^{13} \text{GeV})^2$

6. General Relativity — paradigm of inflation

how does inflation stop?

- even with the "slowly rolling" inflaton field, there is a small change
⇒ the field value approaches its minimum
- the inflaton field dominates, but there are the other fields, too
⇒ it can decay into the other fields
- with the increasing scale factor, the temperature drops
 - the effective potential can decrease to the minimum value
 - assuming for example a form like the SM Higgs potential
 - * the value of the inflaton field stays large: ϕ is heavy
 - * but the value of the potential can go to zero: $H_{\text{infl}} \rightarrow 0$
 - ⇒ inflation stops
 - including supersymmetry
 - * the value of the potential is bounded from below, mostly positive
- the heavy inflaton decays into the other fields: [reheating](#)

6. General Relativity — paradigm of inflation

consequences of inflation

- the universe appears as flat, homogeneous, and isotropic
 - as is seen in the CMB
- the seeds for structure formation can be understood
 - as quantum fluctuations blown up to cosmic scales
- the primordial particle spectrum is thermal
 - from the decay of the inflaton

problems with inflation

- how "natural" are the conditions for inflation ?
- how can we understand the "ordered" state after inflation
 - coming from an "unordered" state before inflaton ?
 - * it seems the initial conditions for inflation have to be more fine tuned than the conditions of the accelerating universe we see now

6. General Relativity — paradigm of inflation

current research issues regarding inflation

- the simplest assumptions are too restrictive
 - minimal coupling (ϕ is only used as the source in $T_{\mu\nu}$)
 - single field
 - $\partial_i\phi \sim 0$

⇒ generalized G-inflation (Galileon inflation):

- more terms in the Lagrangian

$$S = \int d^4x \sqrt{-g} \left(\sum_{i=1}^5 \mathcal{L}_i - \frac{R}{16\pi G} \right)$$

- the first term, \mathcal{L}_1 , being a SM-like Higgs Lagrangian:

$$\mathcal{L}_1 = |D_\mu\phi|^2 + \lambda(|\phi|^2 - v^2)^2$$

- * ϕ the inflaton field and D_μ its covariant derivative
- * λ the self-coupling of the inflaton
- * v the vacuum expectation value of the inflaton field

6. General Relativity — paradigm of inflation

current research issues regarding inflation

- other terms in generalized G-inflation:

$$\mathcal{L}_2 = K(\phi, X) \qquad \mathcal{L}_3 = -G_3 \square \phi$$

$$\mathcal{L}_4 = -G_4 R + G_{4X} [(\square \phi)^2 - (\nabla^2 \phi)^2]$$

$$\mathcal{L}_5 = -G_5 G_{\mu\nu} (\nabla^\mu \nabla^\nu \phi) - \frac{1}{6} G_{5X} [(\square \phi)^3 - 3(\square \phi)(\nabla^2 \phi)^2 + 2(\nabla^2 \phi)^2]$$

- where the kinetic term of the inflaton is $X = -\frac{1}{2} g^{\mu\nu} (\nabla_\mu \phi)(\nabla_\nu \phi)$
- $K(\phi, X)$ is the Kähler potential
- $G_i = G_i(\phi, X)$ is a parameterizing function with its derivative $G_{iX} = \frac{\partial G_i}{\partial X}$
- and the abbreviations

$$\begin{aligned} (\square \phi) &= (\nabla_\mu \nabla^\mu \phi) & (\nabla^2 \phi)^2 &= (\nabla_\mu \nabla_\nu \phi)(\nabla^\mu \nabla^\nu \phi) \\ (\nabla^2 \phi)^3 &= (\nabla_\mu \nabla^\nu \phi)(\nabla_\nu \nabla^\rho \phi)(\nabla_\rho \phi \nabla^\mu) \end{aligned}$$

- modifies the allowed potential for the "Higgs" field
 - can easier accommodate initial conditions and end of inflation
 - at the "expense" of several additional functions
 - * thereby being again less predictive